Aspects regarding the explosion risk assessment of installations in atmospheres with combustible dust

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Abstract. Many industrial processes involving the presence of dust and combustible dust, suspended or accumulated in the form of deposits, have the potential to lead to a fire, explosion or decomposition in the presence of oxygen. As the damage caused by an explosion of dust is generally greater than that caused by explosions of flammable gases and vapours, a special attention must be paid to measures and means of protection and prevention of explosions of dust.
A dust explosion can only occur if there is mainly a potentially explosive atmosphere generated by the air / dust mixture and a source of ignition. The level of safety is given by the operational efficiency of the employees and technical equipment involved in the production process to ensure that at least one of the above conditions is eliminated. The probability of a dust explosion is related to the physicochemical properties of the processed materials, together with the nature of the operations performed and the equipment used.
This paper highlights the principles and factors that must be taken into account when conducting an explosion risk assessment in installations with combustible dust atmospheres in order to establish prevention and protection measures, with the aim of ensuring a tolerable level of risk.

1 Introduction

Many industrial processes involving the presence of dust and combustible dust, which are in suspension or which are deposited in layers or dust deposits, have the potential to lead to a fire, explosion or decomposition in the presence of oxygen.

Every year there are many serious fires and even explosions that can have devastating effects in industrial installations where combustible dusts are present, materialized by considerable material damage, long production shutdowns or even loss of life.

The danger of explosion in workplaces where potentially explosive atmospheres from the mixture of combustible dusts with air may form must, in all cases, be treated as a major hazard, as explosions which may occur may seriously affect both health and safety.

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as well as the environment. For this reason, it is necessary to adopt precautionary measures appropriate to each situation in order to ensure the prevention and protection against explosions, which can be developed following an assessment of the existing risk of explosion.

The danger of explosion is related both to the hazardous materials and substances in the form of dust involved in the production process, used or released by the technical equipment on the technological flow, protection systems and components, and to the materials used to make the equipment, protection systems and components.

An explosive atmosphere of combustible dust is defined as a mixture with air of combustible dusts, powders or flakes, a mixture in which, after ignition, combustion is transmitted into the entire unburned mixture. [1]

An explosion occurs when combustible dusts are present in suspension in admixture with air in the explosive range of those dusts, i.e. between their lower explosive limit (LEL) and upper explosive limit (UEL), at the same time an ignition source whose starting energy is high enough to ignite the air / dust mixture formed. The explosion of combustible dusts occurs if there is a simultaneous interaction of the combustible substance with the oxidant and the ignition source, taking into account the aspects related to the closure of the mixture, thus resulting in the so-called pentagon explosion, shown in Figure 1. [2], [3], [4].

![Fig.1. – Pentagon of explosion](image)

The dynamics and violence of a dust explosion depend on several factors, among which we mention:

- The combustible dust proper (its chemical composition) - characterized by the specific constant of the respective dust $k_{st}$, also called explosion index, and which represents the explosive value measure of the combustible dust. Depending on the explosion index, the combustible dusts are classified in four explosion classes, as can be seen in table no. 1.

<table>
<thead>
<tr>
<th>Dust Explosion Class</th>
<th>Kst [bar×m/s]</th>
<th>Characteristic</th>
</tr>
</thead>
<tbody>
<tr>
<td>St 0</td>
<td>0</td>
<td>No explosion</td>
</tr>
<tr>
<td>St 1</td>
<td>$&gt;0 \div 200$</td>
<td>Weak explosion</td>
</tr>
<tr>
<td>St 2</td>
<td>$&gt;200 \div 300$</td>
<td>Strong explosion</td>
</tr>
<tr>
<td>St 3</td>
<td>$&gt;300$</td>
<td>Very strong explosion</td>
</tr>
</tbody>
</table>

- Concentration of dust mixed with air - depending on this a potentially explosive atmosphere may form. If the concentration is too low or too high, no explosion occurs, but there may be only a slow combustion reaction or even no combustion. Thus, the explosion can only occur if the concentration of combustible dust is within the explosive range of that combustible dust. In general, in the case of combustible dusts, the lower explosive limit is between 20 and 60 g/m³, while the upper explosive limit is between 2 and 6 kg/m³.
✓ The size of dust particles plays an important role in determining the severity of an explosion, because the finer the dust particles, the less they weigh and tend to remain suspended in the air for a longer period of time.
✓ Aspects regarding the homogeneity of dust clouds and the way the dust particles are presented, namely: particle shape, particle size distribution, combustion chemistry, presence of contaminants (such as vapours, gases or inert gases), atmospheric turbulence, moisture content of particles.
✓ Cleaning of areas endangered by the presence of combustible dust. If systematic cleaning is not carried out in accordance with a cleaning plan, suspended dust particles are deposited on floors, walls or equipment, leading in time to the formation of layers or deposits of dust of different thicknesses. These layers or deposits of dust, in the event of an explosion, can be swirled, thus providing the fuel needed for other secondary explosions.

2 Elimination or minimization of the risk of explosion

2.1 Principles of explosion prevention and explosion protection [5]

The basic principles for explosion prevention and protection derive from the need for coincidence of the explosive atmosphere and the effective source of initiation, as well as from the analysis of the expected effects of an explosion, which can be addressed in the following order:

a) explosion prevention - is a concept that materializes through:
   ➢ avoiding the appearance of explosive atmospheres. This objective can be achieved either by changing the concentration of combustible dust which, when mixed with air, gives rise to an explosive atmosphere, to a value which is outside the range of explosiveness, or by changing the concentration of oxygen to a value below the oxygen limit (LOC). This can be achieved within the parameters of production processes by using combustible dust substitutes, limiting concentrations, preventing or limiting the production of explosive atmospheres near the plant, and by designing and constructing technical equipment, protective systems and components in such a way that reduce combustible dust emissions and ensure dilution by ventilation.
   ➢ avoiding all possible efficient sources of burning.

b) explosion protection - can be achieved by:
   ➢ establishing and implementing protection measures to reduce the effects of explosion, such as: explosion-proof design of equipment, release of explosion pressure, suppression of explosion, prevention of flame spread and explosion. In this case, unlike the two measures that can be applied to the concept of prevention, it is acceptable to produce an explosion.

Elimination of the risk of explosion or reduction to an accepted level can be achieved by implementing only one of the principles of protection and prevention set out above. However, there are also situations in which a combination of these principles can be applied.

Always, the first option would be to avoid the appearance of a potentially explosive atmosphere, because the higher of an explosive atmosphere probability occurring, the greater should be the extension of the measures to be taken against the emergence of effective ignition sources.

In conclusion, the requirement to prevent explosions can be expressed in the following form: the probability that a source of ignition will occur simultaneously with an explosive atmosphere is minimal. From this requirement derives the need to establish specific requirements that apply to technical equipment and protective systems depending on the specified field of use.
In order to allow the selection of appropriate precautions, a concept of explosion safety must be developed for each case, by following the steps below.

2.2 Hazardous Ex areas classifications generated by combustible dusts, fibres or cloth

Hazardous area classification is a way of analysing and classifying workplaces in industrial installations where combustible dusts are present, in relation to the probability of the formation of explosive dust/air mixtures and the deposition of combustible dusts in the layer. This analysis is primarily used for the correct selection of technical equipment intended to be used safely in such a hazardous environment, taking into account the characteristics of the dust.

In order to classify hazardous areas where explosive air/dust mixtures are present, it is necessary to specify exactly the nature of the dust, the technical installations that are present, and the following steps must be completed:

• Identification of the main characteristics of combustible dust: size of dust particles, their humidity, minimum ignition temperature in the cloud and layer, electrical resistivity, as well as the corresponding dust group (group IIIA - combustible cloth, group IIIB - non-conductive dust, group IIIC - dust conductive);
• Identifying the spaces and workplaces where combustible dusts or sources of their release may be present, as well as identifying the possibilities for the formation of dust layers;
• Analysis of the probability of explosive dust/air mixtures occurring in different parts of the technical installation.

According to SR EN 60079-10-2:2015 [6], Ex classified areas for explosive dust atmospheres are divided into zones, which are identified and classified according to the frequency and duration of the explosive dust atmosphere, as follows:

- **Zone 20**: A place where an explosive atmosphere of dust in the form of a cloud of dust in the air is present continuously or for long periods of time or frequently.
- **Zone 21**: A place where an explosive atmosphere of dust in the form of a cloud of dust in the air is likely to occasionally occur during normal operation.
- **Zone 22**: A place where an explosive atmosphere of dust in the form of a cloud of dust in the air is not likely to occur during normal operation, but which, if it occurs, will persist only for a short period of time.

2.3 Establish the zoning plan and the appropriate location of the warning signs

In accordance with European Directive 1999/92/EC [7], all workplaces where combustible dusts and/or powders are present, and where, by default, potentially explosive atmospheres may occur, must be classified in zones by the employer. Also, in places where potentially explosive atmospheres may occur, the warning sign "Ex" must be displayed at the entrance.

These warning signs must comply with the requirements laid down in European Directive 1999/92/EC as regards shape, colour, proportions and additional information may be displayed, where applicable.

2.4 Prevention of ignition sources

Combustible dusts and powders may be ignited by several sources of ignition, which may be of an electrical or mechanical nature, of which we mention:

• hot surface;
• electric springs that may appear in switches, contacts, brushes, etc.
• electrostatic discharge;
• thermal sparks;
• mechanical or frictional sparks;

In order to avoid the occurrence of efficient ignition sources or to mitigate their effect, a series of explosion protection measures may be adopted and implemented, including: the choice of electrical and non-electrical equipment operating in locations with combustible dust hazards. Type of protection Ex appropriate to those areas.

Tables 2 and 3 show the types of Ex protection that technical equipment in hazardous areas with combustible dust may present, as well as their selection according to the areas classified Ex.

**Table 2.** Types of explosion protection for electrical equipment in dusty areas

<table>
<thead>
<tr>
<th>Types of protection / reference standard</th>
<th>Category 1 EPL a</th>
<th>Category 2 EPL b</th>
<th>Category 3 EPL c</th>
</tr>
</thead>
<tbody>
<tr>
<td>Very high level of protection</td>
<td>USE Zone 20</td>
<td>USE Zone 21</td>
<td>USE Zone 22</td>
</tr>
<tr>
<td></td>
<td>Zone 21</td>
<td>Zone 22</td>
<td></td>
</tr>
<tr>
<td>Optical radiation interlocked with</td>
<td>Ex op sh</td>
<td></td>
<td></td>
</tr>
<tr>
<td>optical breakage</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>SR EN IEC 60079-28</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Intrinsic safety Ex i</td>
<td>Ex ia</td>
<td>Ex ib</td>
<td>Ex ic</td>
</tr>
<tr>
<td>SR EN IEC 60079-11</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>SR EN IEC 60079-25 systems</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Inherently safe optical radiation</td>
<td>Ex op is</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SR EN IEC 60079-28</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Encapsulation Ex m</td>
<td>Ex ma</td>
<td>Ex mb</td>
<td>Ex mc</td>
</tr>
<tr>
<td>SR EN IEC 60079-18</td>
<td></td>
<td></td>
<td>Ex n*</td>
</tr>
<tr>
<td>Pressurised enclosure Ex p</td>
<td>Ex pxb, Ex pyb</td>
<td>Ex pzc</td>
<td></td>
</tr>
<tr>
<td>SR EN IEC 60079-2</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Protection using enclosure Ex t</td>
<td>Ex ta</td>
<td>Ex tb</td>
<td>Ex tc</td>
</tr>
<tr>
<td>SR EN IEC 60079-31</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Protected optical radiation</td>
<td>Ex op pr</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SR EN IEC 60079-28</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Table 3.** Types of explosion protection for nonelectrical equipment in dusty areas

<table>
<thead>
<tr>
<th>Types of protection / reference standard</th>
<th>Category 1 EPL a</th>
<th>Category 2 EPL b</th>
<th>Category 3 EPL c</th>
</tr>
</thead>
<tbody>
<tr>
<td>Very high level of protection</td>
<td>USE Zone 20</td>
<td>USE Zone 21</td>
<td>USE Zone 22</td>
</tr>
<tr>
<td></td>
<td>Zone 21</td>
<td>Zone 22</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Constructional safety
SR EN IEC 80079-37
Control of ignition source
SR EN IEC 80079-37
Liquid immersion
SR EN IEC 80079-37
Pressurised enclosure Ex p
SR EN IEC 60079-2
Protection by enclosures Ex t
SR EN IEC 60079-31

2.5 Selection of technical equipment

Technical equipment intended for operation in hazardous areas with combustible dust must be selected on the basis of the classification of Ex hazardous areas, the combustible dusts present, their ignition temperatures and the environmental characteristics of the sites of the installation to which they belong.

This selection will be made taking into account the category of equipment that must be appropriate for the type of classified area, depending on the level of protection required in accordance with the criteria presented in table 4.

Table 4. Selection of technical equipment intended for areas with combustible dust

<table>
<thead>
<tr>
<th>ZONE</th>
<th>Presence of the explosive atmosphere (explosion hazard)</th>
<th>Avoidance of effective ignition sources (ignition hazard)</th>
<th>Required level of protection</th>
<th>Group II CATEGORY</th>
<th>EPL</th>
</tr>
</thead>
<tbody>
<tr>
<td>22</td>
<td>Infrequently and for a short period only</td>
<td>During normal operation</td>
<td>NORMAL</td>
<td>3D</td>
<td>Dc</td>
</tr>
<tr>
<td>21</td>
<td>Likely to occur</td>
<td>Also during foreseeable malfunctions (single fault)</td>
<td>HIGH</td>
<td>2D</td>
<td>Db</td>
</tr>
<tr>
<td>20</td>
<td>Continuously, for long periods or frequently</td>
<td>Also during rare malfunctions (two independent faults)</td>
<td>VERY HIGH</td>
<td>1D</td>
<td>Da</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>USERS</th>
<th>MANUFACTURERS</th>
</tr>
</thead>
</table>

3 Ignition risk assessment for bucket elevators

The potentially explosive atmospheres probability, the ignition sources presence and the effective ignition will determine the fire or explosion probability. The bucket elevator location and the adequate protection systems presence will determine the fire or explosion consequences.
The combustible dust ignition in the case of a bucket elevator may result in a smouldering fire, a blazing fire, an explosion or a propagating explosion. Following a dust explosion, there is a possibility that a fire may continue inside or outside the bucket elevator.

The explosion danger in bucket elevators depends very much on the nature and appearance of the bulk material. In this case, a decisive role is played by the fine fraction of the bulk material, with particle sizes smaller than 500 μm, and how easily a cloud of dust is formed. Always, if a bulk material contains relevant fractions of dust in its composition, an explosion hazard must be assumed.

Even of low dust concentrations, over time, the dust can adhere to the bucket elevator housing, leading to the formation of layers with a thickness of a few millimetres. These layers of dust adhering to the elevator housing are not in themselves explosive mixtures, but constitute a continuous potential for an explosive mixture - for example, due to bucket elevator failures (non-alignment of the belt), the housing may vibrate, which leads to the turbulence of the adhering dust and its dispersion in the form of an explosive dust cloud.

Explosion risk assessment is difficult to perform in the case of a bucket elevator. Normally, the bucket elevator user chooses it according to its category and then, will perform a risk analysis and assessment according to local circumstances.

In principle, the fire and explosion danger analysis in the case of a bucket elevator can be performed by following the logic diagram shown in Figure 2.

![Fig. 2. The explosion risk logic diagram](image)

As can be seen, if combustible dust is present, after the hazardous areas classification has been carried out, it is first necessary to identify all ignition sources and to check whether the protection measures adopted and implemented prevent the occurrence efficient ignition sources, as follows:

- during normal operation, if the potential ignition source is in zone 22;
- also during foreseeable failures (for a single failure), if the ignition source is in Zone 21;
- also during rare failures (two independent failures) if the ignition source is in Zone 20.

Depending on the acceptability of the risks, in addition to preventive measures, based on the bucket elevator category, it may be necessary to adopt and implement certain explosion protection measures.
From the point of view of the existence of potential ignition sources, in the case of bucket elevators, the following initiation sources can be found:

Equipment ignition sources - are presented in table 5.

**Table 5. Equipment ignition sources**

<table>
<thead>
<tr>
<th>Potential ignition sources</th>
<th>Possible causes</th>
</tr>
</thead>
</table>
| Hot surfaces               | • Bucket lifting belt friction with elevator housing wall due to misalignment  
|                            | • Friction between the lift belt and the drive wheel (drum) due to slipping  
|                            | • Friction of loose parts in the elevator with buckets (loose bucket, lost pulley parts, etc.) with moving parts  
|                            | • Damage to bearings and gears |
| Mechanical sparks          | • Mechanical sparks due to cups colliding with the housing wall (due to insufficient belt tension, defective belt, loose cups) or with the discharge chute  
|                            | • Non-alignment of the drive wheel |
| Electrical equipment       | • Electrical equipment and motors  
|                            | • Improper grounding and / or equipotential bonding |
| Electrostatics             | • Electrostatic charging due to the separation processes between the belts and the drive pulleys  
|                            | • Electrostatic charging of cups due to electrostatic induction  
|                            | • Electrostatic charging of any other installed conductive components that is not grounded |

Ignition sources introduced or acting from the outside

Bucket elevators that are part of an installation configuration have interfaces that should also be considered. This means that ignition sources that can be introduced into bucket elevators must be considered in addition to ignition sources related to equipment.

A potential typical ignition sources summary introduced or acting from the outside is presented in table 6.

**Table 6. Ignition sources introduced or acting from the outside**

<table>
<thead>
<tr>
<th>Potential ignition sources</th>
<th>Possible causes</th>
</tr>
</thead>
</table>
| Hot surfaces               | • Foreign material introduction  
|                            | • Incandescent particles introduction  
|                            | • Welding, grinding, cutting operations  
|                            | • Damage to the housing due to external mechanical action |
| Hot flames and gases, including hot particles | • Incandescent particles introduction  
|                            | • Fire or explosion propagation from connected or external installations |
| Mechanical sparks          | • Foreign material introduction  
|                            | • Damage to the housing due to external mechanical action |
| Lightning                  | • Inadequate lightning protection |
Ignition sources from the product itself

There are also possible ignition sources arising from the product itself. Therefore, it must be checked whether self-ignition or exothermic decomposition is expected due to the characteristics of the bulk material.

Such exothermic reactions should be assumed to occur in particular in installations operating at high temperatures and in which large dust accumulations are formed either intentionally (storage, intermediate storage, etc.) or unintentionally (deposits, agglomerations).

In the case of organic products (such as cereals), an excessive moisture content may also present the risk of self-ignition due to microbiological processes (Maillard reaction).

In bucket elevators, large products accumulations can occur in the feed base and in the horizontal feed and outlet sections. It should be noted here that the self-ignition or degradation temperature, which is a characteristic of the self-heating behaviour of any dust, will decrease as the volume and thickness of the layer increase. Incandescent nests and self-igniting flames can become ignition sources for dust explosions when deposits are turbulent.

Especially in the case of bulk organic materials, there is the additional burning danger before self-ignition, which can release combustible gases such as carbon monoxide which when mixed with wood dust leads to the formation of hybrid mixtures.

4 Conclusions

The ignition and explosion risk assessment, in the case the use of technical equipment and protective systems in environments where combustible dusts are present which may cause fires and explosions, is of particular importance with regard to ensuring the health and safety of workers involved in the production process. In accordance with the legislation in force, the risk assessment and the adoption of the necessary protection measures to ensure an acceptable level of safety is a responsibility of both equipment manufacturers and their users.

In this regard, it is necessary that all technical equipment in an installation operating in environments with potentially explosive atmospheres generated by the dust / air mixture be subjected to a risk analysis, identifying all potential ignition sources and all protection measures to be adopted and implemented to prevent potential ignition sources from becoming effective.

The technical equipment used must be selected on the basis of the hazardous area classification in the three zones 20, 21 and 22, the existing combustible dusts, the ignition temperatures, the installation site environmental characteristics and taking into account the equipment category to be appropriate for the classified area type.

References