Development of flume model of inclined capillary barrier (clay silt/CDW) in a subtropical climate scenario

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Abstract. Interest in research on capillary barrier (CB) in regions with humid climates (tropical and subtropical) is increasing. One of the main advantages of such cover type is the greater flexibility regarding the materials that could compose it. A possibility is the use of construction and demolition wastes (CDW), which would contribute to the solution of CDW destination, a problem in urban centers. Thus, the present work aimed an experimental evaluation of CB cover with CDW in its composition. A CB cover system was reproduced in a physical model in acrylic box, with clay soil as capillary layer and CDW as capillary block, given its granulometric and hydraulic contrast. The layers were arranged with the usual landfill slope, of 1:3, and a very heavy rain, of 90 mm, was simulated. Three tests were performed on the flume model, in which initial CDW saturation degree (S0) was varied in 4.5%, 10.3% and 17.3%. Results indicate formation, indeed, of capillary barrier between the two used materials. The most efficient model was the one with lowest initial CDW S0, of 4.5%. As the CWD S0 increased, time of flow permanence at materials interface decreased, indicating a CWD S0 in which such capillary barriers no longer function effectively. Regarding percolation rate, the maximum for a 90 mm rainfall was 2.7%, which fits the landfill cover projects criteria consulted.

1 Introduction

The Urban Solid Waste (USW) production in Brazil is annually analyzed and quantified through the cooperation of competent agencies (e.g., ABRELPE and IBGE) along with the Brazilians city halls. Only in the year of 2018, an waste amount of 216,6 ton/day was collected in the country (collect coverage of 92%), where the total amount is 79 millions of tons and the per capita production average is 380 kg/year [1].

Still according to ABRELPE [1], out of this collected quantity, 59.5% of the wastes were sent to landfills. This value, when compared to the one of 2007, represents an expressive increase (20.9%) of USW disposition in landfills. In this context, research concerning USW landfills is extremely important, once it is necessary to assure its good functionality, due to the specificities of these constructions, that are being each time more required.

Regarding the landfills, one of their main particularities is the final covers systems. These are extremely important, especially considering their function of minimize the rainfall infiltration and its percolation into the residues mass. It is important to highlight that the referred system directly influences in the leachate production and, ideally, they should be constituted by several layers, which are: surface layer; protection layer (that stores percolated water from the superior layer); drainage layer (that promotes the lateral flow of the percolated water); hydraulic barrier layer (which prevents the infiltration of the non-drained water); and, finally, gas collection and foundation layer ([2] and [3]).

The classic applied materials for the various final cover layers of a landfill are: low permeability soils (for hydraulic barrier layers); gravel or other inert material (for drainage and gas collection layers); and, geosynthetic materials [4].

Regarding the barrier materials, generally, compacted clay soils (Ksat ≤ 1E-7 cm/s) are employed, however, with this technique, contraction cracks manifestation in the clay layer are common, due to wetting and drying natural processes, which can considerably affect the whole system efficiency.

As a solution for this problem, an alternative final cover system is a cover with capillary barrier effect. In this system, the contrast of the non-saturated hydraulic properties of two different granulometric overlapped layers is explored, where the superior one is formed by a thinner material (capillary layer) and the inferior one is composed by a coarser particle size material (capillary block), in order to create a barrier in the interface of these layers due to accentuated suction and hydraulic conductivity contrasts [5].

The mentioned system works in an unsaturated flow regime. When the water flows in this regime, a delay in vertical percolation occurs due to the abrupt change in the substrate pores size (interface between capillary layer and capillary block). The macro pores of the capillary block offer less “attractiveness” for the water than the micro pores of the material from the capillary layer itself [6].

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In addition, an especially important parameter that needs to be considered in the capillary barrier systems (CBSs) for landfills is its inclination. Kampf & Montenegro [7] emphasize that the inclination contributes to a more effective operation of the CBSs and Aubertin et al. [8] mention that the knowledge of this aspect of the capillary barriers is of interest, once that cover systems able to deal with a bigger water volume. This advantage of inclined CBS occurs due to what is called lateral diversion.

Concerning the barrier effect failure, Harnas et al. [9] point that in regions with more humid climate (tropical and subtropical ones, as is the case of the region in study), where the rainfall rates exceeds 1000 mm annually, the breaking of the barrier effect happens much more faster and the adoption of measures or different approaches to maintain the system working for a longer time is required.

One of the alternatives indicated in the literature is the use of different materials from the traditional ones for the CB layers, in general, materials available in large amounts in the landfill’s proximity and that do not have an adequate destination.

One example of these materials is the Construction and Demolition Waste (CDW). Both Barros [10] and Bizarreta & Campos [5] indicate the potential for the joint application of CDW fine fraction (<4.8 mm) and clay soil as an alternative technique for the final covers in landfills, exploring its non-saturated properties for capillary barrier systems. However, both works evaluated the properties of CDW in an isolated way, pointing for its potential of application in USW and the need of complementary studies was identified, studies that evaluate the system considering the flow that runs through the constituent layers.

An interesting and widespread technique for this type of evaluation, that is, the flow behavior through different layers, are the reduced physical models (flume models). This study approach was adopted by several authors over the years, as [7], [11], [12], [13], [14], [15], among others.

Almeida [12] and Izzo et al. [13], for example, studied a CB cover system produced with solid waste pre-treated mechanically and biologically, both carried out their researches with the flume model technique. Almeida [12] built a box of 20 mm glass plates, dimensions of 0.60 m (width) x 1.96 m (length) x 0.97 m (height); rainfall simulator system made with PVC pipes with drippers; and, three drain points (PVC pipes wrapped in geotextil plates, dimensions of 1.0 m x 2.0 m x 1.2 m, rainfall simulator with sprinklers and drains of PVC pipe wrapped in a wire mesh.

Therefore, this works aims to develop a reduced physical model in order to study the CB effect in inclined cover systems in humid climate region, specifically, a subtropical climate, as the one of Foz do Iguaçu-PR (city where the present research was developed). The materials applied in the study were the CDW (as capillary block) and the soil available in the region (clay silt, as capillary layer).

An interesting point of this work is the use of CDW, once large volumes of this materials are constantly being produced and solutions for the reincorporation of this material in the construction industry are required (as use in paving, concretes and landfills components). In addition, in the city where the research was developed, the CDW is not disposed in inert landfills as recommend by environmental regulations, but in the same landfill of the city USW, which represents a serious problem to the city USW management that need to be solved.

2 Material and methods

The present work was divided in two main stages, where the first was the collect of the materials that were used in the research (soil and CDW) and its physical and hydraulic characterization, and the second, the development of the reduced physical model (flume model) for the inclined capillary barrier simulation in subtropical climate conditions (climate of the region of study).

2.1 Materials characterization

The used materials were the residual soil from the region (applied as capillary layer in the flume model) and CDW (applied as capillary block). Both materials were collected in the Urban Solid Waste Landfill (USWL) in Foz do Iguaçu. The collected soil is available around the USWL, which is the same that is used in the procedures of daily and final covers. The CDW is collected from all places of the city and directed to the USWL, once the city does not have a specific destination for this type of material. These residues are disposed in piles without separation between coarse and fine fractions. For this reason, the sifting in loco of this material was needed, in the mesh #4 (4.8mm).

After collect process, all the material was stored with hygroscopic humidity in plastic baskets or in fabric bags, in a climatized chamber with temperature varying between 20 to 24°C, in order to use it in the following steps.

The characterization of both materials was made according to the current Brazilian standards and/or according to literature recommendations, as follows:

- Specific mass: NBR 16605 [16];
- Granulometry: NBR 7181 [17];
- Compaction curve: NBR 7182 [18];
- Soil-water characteristic curve: Villar [21] and Bizarreta [22].

Samples separation and preparing processes were executed according to NBR 6457 [23], except for the soil-water characteristic curve test, where the samples were extracted from the flume model (after the capillary barrier simulation test), which will be detailed in the following section.
2.2 Flume model

The inclined flume model was developed aiming the simulation of a capillary barrier made of soil and CDW under conditions of intense rainfall, which frequently occurs in subtropical regions. The study of the capillary barrier behavior through flume models is an approach that had been being used by many authors ([7], [11]; [12]; [13]; [14]; [15]), although it is not standardized. It is based in the execution of the layers of a CBS in a box or in specific apparatus, where rainfall events are simulated for measurements of percolated water volume over time. Such percolated water measurements are made in the different system layers through drains (and in some cases through sensors), where these are inserted in different positions of the box/apparatus.

In the present work, the flume model was designed/developed with 0.3 (width) x 1.0m (length) x 0.7m (height), with lateral faces in 10mm acrylic plaques and bottom in a 12mm wooden plate reinforced with 30mm iron bars. The design can be seen in Figure 1.

![Fig. 1. Flume model design.](image)

The acrylic plaques/wood plate were fixed together by “U” aluminum profiles and by elastic adhesive based in silyl modified (SM) polymer. Then, the wooden plate was impermeabilized with asphalt emulsion and impermeabilization tests were made, by filling the box with water and verifying the possible existing leaks.

After the tightness verification of the box, this one was filled with sand in order to “mold” the inclination 1:3 (H:V), 18° approximately, value that is usual for landfills slopes. Above the sand, another wooden plate was installed to isolate this material, and the contact between the wood and the acrylic was sealed with silicone. In this step, another impermeabilization test was carried out. The initial box assembly can be seen in Figure 2.

![Fig. 2. Flume model assembly.](image)

Finally, a lateral reinforcement for the box was produced, to avoid its arching due to the material filling and compaction processes. This reinforcement was made with 30mm iron bars, the same ones used in the box bottom (under the wooden plate).

2.2.1 Drains

For the flume model of the present work, six (06) drains were used for system monitoring of runoff and percolated water volumes: three (03) for the vertical percolation measurement (Figure 1, drains A, B and C); two (02) for the horizontal percolation, in the layer’s foot, capillary (soil) and block (CDW) (Figure 1, drains D and E); and, one (01) for runoff. The drains were made with 20mm PVC pipes perforated along the water collect length and, specifically for the runoff drain, an opening along its whole length was made, due to the greater water volume that was going to be collected (Figure 3).

![Fig. 3. Drains. (a) Drain C. (b) Drain E.](image)

For the installation of the drains in the box, holes in the acrylic plaques were made previously to its fixing with the wooden plate. Also, PVC pipes passed through the two box faces, letting the drain with an inclination to the side of water collect.

During the experimental molding, the drains were wrapped in a gravel layer (draining material) under a geotextile layer (filtering material), as showed in Figure 3.a. The drains A, B and C (collect of the vertical percolation in the CDW layer) were tested before the material was put in the box. For the superior drains (bottom capillary layer and runoff), an impermeable material was used under the drain (3mm acrylic plate, Figure 3.b) in order to avoid the flow of the accumulated
water in these points into the lowers layers without the appropriated collect.

2.2.2 Rainfall simulator

Regarding the rainfall simulation system, a ¼” flexible hose with small holes was used, fixed in a steel welded grid, aiming a better directing of the rain to the barrier (and not to the contact soil-acrylic, as it may occur in the sprinkler cases) (Figure 4).

Fig. 4. Rainfall simulator system.

The rainfall simulator system was designed to cover 85% of the initial stretch of the flume model, because the rainfall occurrence in 100% of the box area could camouflage the runoff and the percolation results. The hose holes were made with a syringe needle (small diameter), in order to avoid a torrential rain.

A volume of 90mm rain was fixed, based in the 20-year rainfall historical data survey (jan/1998 a dez/2018) of Foz do Iguacu – PR, compiled from the Paraná Meteorological System-SIMEPAR [24]. This volume represents strong rainfalls (above 50mm) according to Moreira [25] classification, which corresponds to 5,9% of all the rainfall events within the 20-year range analyzed. Within this 5,9% of very heavy rain events, rainfalls above 90mm represents less than 1% of the rainfall events, therefore, this was the maximum value (limit) fixed for the tests in the present work.

Despite initial definition of a 90mm rain, in the cases where no percolated volume was measured by the drains, the rainfall phenomenon was extended until the drain activation. For this reason, Table 1 shows a real value of rain volume, which corresponds to the “Rain volT” column.

The real value of rain volume of the test is bigger than the idealized conditions and it is a little bit far away from the reality presented in the historical data, but, in the other hand, the system was subjected to very extreme conditions. NG et al. [15] also simulated a torrential rainfall event, in which intensity was 73,8 mm/h during 4h, totaling an amount of 295,2 mm of rain.

While the test was being executed, the periods of water percolation in the soil and CDW layers were registered, as well as the permanency period in the CB and the operation beginning period of each drain. Also, volume measurements of the drain collect were performed every 10 min in the experiment for the initial 2 hours. Posteriorly, the collect volume of each drain after 4h, 8h and 24h were measured, regarding the initial beginning time test and it could be verified that only the drain D (capillary block foot) operated after the rainfall event ended.

3 Results and discussion

3.1 Materials characterization

The material granulometric curves and the Atterberg limits indicate that the soil that was used in the present work is a low plasticity sandy clay, CL (according to the USCS classification). The CDW can be classified as a silty sand, SM. These results are shown in Figure 5.

Fig. 5. Granulometric curves of soil and CDW. (LL: liquidity limit; PL: plasticity limit; PI: plasticity index; Cu: uniformity coefficient; Cc: curvature coefficient).

<table>
<thead>
<tr>
<th>Test</th>
<th>CDW So (%)</th>
<th>CDW w (%)</th>
<th>i\text{rain} (mm/h)</th>
<th>Rain volT (mm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>E1</td>
<td>4,5</td>
<td>1,5*</td>
<td>91,8</td>
<td>210,7</td>
</tr>
<tr>
<td>E2</td>
<td>10,3</td>
<td>3,5</td>
<td>101,5</td>
<td>208,0</td>
</tr>
<tr>
<td>E3</td>
<td>17,3</td>
<td>5,9</td>
<td>91,1</td>
<td>121,4</td>
</tr>
</tbody>
</table>

*hygroscopic moisture
Through this test, a granulometric contrast between both materials was indeed observed, which points to the possible use of both materials for a CB composition, in accordance with Barros [10] and Bizarreta & Campos [5]. Nevertheless, the CDW also presented a considerable fine content (particles passing in mesh #200), which could partially damage the capillary barrier effect. The compaction results can be observed in Figure 6, for situations with and without material reuse. Results are consistent with other results presented by Das & Sobhan [28] for the same material type (considering the USCS classification). Also, there was almost no change in the CWD curve due to the material reuse, however, the possibility that the compaction could change CDW grains granulometric distribution (due to its break) is not discarded and complementary analysis (granulometry after compaction) would be required to verify this hypothesis.

**Fig. 6.** Compaction curves of soil and CDW. (w: moisture content; \(\omega_{\text{opt}}\): optimum moisture content; \(\gamma_d\text{max}\): maximum dry density).

Regarding the grains specific mass (Gs) and the saturated permeability coefficient (ksat), the results can be observed in Table 2. Permeability results evidence the difficulty in reaching low permeabilities for a soil with an 80% compaction degree, characteristic that is required for its use in monolithic covers. High CDW permeability was also observed.

<table>
<thead>
<tr>
<th>Material</th>
<th>Gs</th>
<th>(k_{\text{sat} 20^\circ C}) (cm/s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Soil</td>
<td>2.71</td>
<td>1.64E-04</td>
</tr>
<tr>
<td>CDW</td>
<td>2.70</td>
<td>1.62E-02</td>
</tr>
</tbody>
</table>

Finally, regarding the soil-water characteristic curve (Figure 7), we can note that the soil has a bigger water retention capacity than the CWD, as expected, evidencing the suction materials contrast. This indicates the potential formation of a capillary barrier between both materials, with their associated use [29].

**Fig. 7.** Soil–Water Characteristic Curve of soil and CDW. (θ: volumetric moisture content; \(\psi\): suction).

Despite that, the CWD also presents a considerable water retention capacity, i.e., the curve is slightly different from the sand ones, which was also observed by Bizarreta & Campos [5]. Possibly, this occurs due to the CDW particles microporosity, which would demand more detailed studies about this aspect for posterior confirmation.

In general, comparing the obtained results of this work with others of the literature ([5] and [30]), a huge variability in CDW characteristics was identified, which can be a limiting factor to this work proposition or to others works that aim the reuse/recycling of these wastes.

### 3.2 Flume model

The flume model tests indicated indeed the occurrence of the capillary barrier effect between the soil and CDW. Through these tests, percolation velocities, permanency period of the flow in the materials interface (“CB period”) and the collected volume water by drains were measured, as the scheme shown in Figure 8.

**Fig. 8.** Capillary barrier test.

In Table 3, the permanency time of the flow in the materials interface before the water advance to the capillary block (CDW), column “BC (s)”, can be observed. Also, percolation velocity results are presented,
as well as some parameters that were verified \textit{a posteriori} through the extraction of undisturbed samples (dry density, $\gamma_d$, its respective compaction degree, CD, and field capacity, $w_{\text{field}}$).

### Table 3. Flume model results.

<table>
<thead>
<tr>
<th>Test-S0</th>
<th>Perc. vel. (cm/s)</th>
<th>BC (s)</th>
<th>Soil CD (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>E1-4,5%</td>
<td>9,29E-03</td>
<td>178</td>
<td>83,8</td>
</tr>
<tr>
<td>E2-10,3%</td>
<td>1,43E-02</td>
<td>179</td>
<td>82,2</td>
</tr>
<tr>
<td>E3-17,3%</td>
<td>1,38E-02</td>
<td>95</td>
<td>81,7</td>
</tr>
</tbody>
</table>

(continuation...)

### Table 4. Drain collection results. (R: runoff drain).

<table>
<thead>
<tr>
<th>Test</th>
<th>Rain (mm)</th>
<th>Drain collection (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>R</td>
<td>A</td>
</tr>
<tr>
<td>E1</td>
<td>210,7</td>
<td>83,8</td>
</tr>
<tr>
<td>E2</td>
<td>208,0</td>
<td>90,9</td>
</tr>
<tr>
<td>E3</td>
<td>121,4</td>
<td>44,2</td>
</tr>
</tbody>
</table>

*Percentage regarding the total collected amount (drain sum). Part of the water was retained in the system itself.

We can observe that the E3 test (S0=17,3%) presents a different behavior from the others, with certain balance between the drain readings. A possible explanation for this fact is that water percolated faster in this test (as previously discussed), due to the greater initial saturation degree of CDW when compared to E1 and E2 tests. In case of total CDW saturation, water volumes collected by drains would be even greater, i.e., system percolation rates depend on initial saturation of capillary block (greater moisture, lower permeability contrast between the materials). Besides that, it (E3) was the only test where the drains presented an adequate operation.

The different rain volumes between the tests (due to the limitations in controlling the rain simulator, which depended on the distribution system flow rate) made the direct comparison of results unfeasible. For this reason, the collect volumes in each drain were converted to rains of 90mm (initial proposed value), considering a linear relation. Thus, the contribution percentage of the drains (A, B, C and D, which represent the volume that would enter the residues mass) were obtained, regarding a 90 mm rainfall event. For E1 and E2 tests, the contribution is zero and for the E3 test, the value was 2,7%. These results are positive, once they demonstrate that extremely rare events would be necessary for the flow to cross all the CB system proposed, given the conditions tested in the present work. Other authors as Krisdani et al. [31] and NG et al. [15] that also used cohesive soils in the CB obtained similar results.

There is not a consensus or standard that indicates an acceptable range of values for the percentage of rainfall that percolates into the residues mass [2], however, Hauser [32] suggests a maximum value of 3% (annually). Hence, results of this work are satisfactory. Other authors as Silva [33] and Oliveira & Braga [34] point that in Brazil, this percentage reaches values up to 50%.

The rainfall percentage parameter that percolates into the residues mass is one of biggest interest for the final cover landfill designs, because from this value it is possible to determinate the produced leachate quantity (formulations in [35]), where the lower the values the better, what could assist in the contingency of soil and hydraulic bodies contamination in landfills surroundings,
as well as economy in drainage systems and/or leachate treatment or recirculation systems.

3.2.1 Flume model limitations

The approach of this work consists in a non-standardized experimental methodology and, therefore, during the tests several limitations were identified, limitations that can be improved for future researches involving flume models.

The first limitation refers to the wooden plate of box bottom, the one over the sand, which molded the system inclination: this element impermeabilization failed, which caused both water absorption and its expansion, resulting in leaks under the CB system layers. For future tests, the wood could be replaced by a PVC plate or a similar material, that would not absorb water.

Another limitation was the adequate control of rainfall simulator flow rate, once the initial estimated rainfall values did not match the values that were in fact supplied to the system. For future tests a possibility would be the use of reservoirs with a pre-defined volume and pumps, just as suggested by Almeida [12]. Other alternative would be the use of a rotameter (flow meter) linked to the supply system tap, for a constant control of the tap and, therefore, of the rainfall flow rate.

Finally, the biggest limitation of this work was probably the inadequate drain operation of some drains (for the extreme rainfall conditions, when water flow reaches the capillary block foot). One explanation for this problem is the low water level (movements in unsaturated conditions) and the non-saturation condition of the geotextile, which could form a CB itself above the drain. For future researches, a suggestion would be to perform an opening along the whole drain length (such as the one made for the runoff drain), and, added to that, its filling with gravel, in order to make easier the water entry into the element.

4 Conclusions

The results of this work indicate that the studied materials (soil from the region, CL-USCS, and the fine CDW fraction, SM) are compatible for the associated application in capillary barrier systems, with the use of the soil as the capillary layer and of the CDW as the capillary block. Through the characterization tests the granulometric contrasts between the materials as well as the water retention capacity (suction) contrasts could be noted. Regarding the soil-water characteristic curve, it was observed that the CDW has a considerable retention capacity (bigger than the natural materials classified as SM, which possibly occurs due to the particles porosity that form the CDW (for example, ceramic/bricks or cement residues).

The flume model tests indicated that there is an initial CDW saturation value from where the CB mechanism between the materials is no longer effective (in the case of this work, this value would be between 10.3% and 17.3%). In other words, CB system operation depends on initial saturation degree of capillary block. Another important observation is that after CB break, vertical percolation in capillary block (CDW) is even slower than in capillary layer (soil). These conditions favor the percolation minimization of water into the residues mass in this type of cover system.

Despite the research limitations, that came along with the experimental methodology that was applied, positive results have been reached. The presented proposal is a subject of interest because in addition to replacing a substantial amount of natural material used in the final landfills covers (the soil), it employs other material that is widely available in urban centers (CDW), whose final disposal is complex. Also, the amounts of generated CDW in the cities in last years have been growing and this material requires solutions to be reincorporated in the industrial/construction sector.

Lastly, more realistic studies, considering the water balance (evapotranspiration) in these type of cover systems would be interesting to a better understanding and evaluation of this work proposal.

References

10. M.C. Barros. (2005). Avaliação de um resíduo da construção civil beneficiado como material...


